



Canadian Lawyers Liability Assurance Society

2021/2022 Renewal Application for
Excess Professional Liability Insurance

This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

Note: The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.

Please answer **ALL** questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured): Torys LLP

2. Address of principal office: Suite 3000, 79 Wellington Street West, TD Centre
Toronto, ON M5K 1N2

Phone: (416) 865-0040 Fax: (416) 865-7380

3. Address, phone, and fax numbers of other office(s):
Please see attached

4. Management or service companies, date(s) established, and services provided:
Toryco Services, a limited partnership established in 1976; provides secretarial,
administrative and support services for the firm

5. Is the Firm a multi-disciplinary partnership ("MDP")? ☐ yes ☒ no

If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.



6. Since the most recent CLLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

Not applicable

7. Attached, as Appendix A, is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete?

☒ yes ☐ no

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of February 15, 2021:
- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels, and lawyer consultants).
 - b) Number of patent & trademark agents (who are not lawyers).
 - c) Number of other non-lawyer consultants.
 - d) Number of paralegals.
 - e) Number of other employees.
 - f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels, or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.



9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>		<u>Last Year</u>	
a) Corporate and Commercial Law	<u>55.2</u>	%	<u>52.6</u>	%
b) Criminal Law	<u>0</u>	%	<u>0</u>	%
c) Family Law	<u>0</u>	%	<u>0</u>	%
d) Intellectual Property	<u>2.2</u>	%	<u>3.1</u>	%
e) Labour Law	<u>3.7</u>	%	<u>3.5</u>	%
f) Litigation	<u>13.9</u>	%	<u>15.3</u>	%
g) Real Estate	<u>4.8</u>	%	<u>5.1</u>	%
h) Securities Law	<u>10.3</u>	%	<u>10</u>	%
i) Tax Matters	<u>2</u>	%	<u>2.6</u>	%
j) Wills, Estates, Trust	<u>0.75</u>	%	<u>1</u>	%
k) Other (please specify) Regulatory and misc	<u>7.15</u>	%	<u>6.8</u>	%

10. Have any of the lawyers, or non-lawyer consultants listed in Appendices B and C, or former lawyers, or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended, or disbarred from practice since the date of the Firm's most recent CLLAS application?

☐ yes ☒ no

If "yes", please provide full details:

11. Attached, as Appendix D, is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify and update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes, coverage for lawyers providing Professional Services from a U.S. office, as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached, as Appendix F, is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2020. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. **Notices on this application are not considered proper notice of a claim.**

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved in excess of \$500,000.



Note: All claims or notices require the following details: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim including damages sought, amount paid (legal & indemnity, and amount reserved (legal & indemnity).

14. Will the Firm purchase coverage under the CLLAS optional excess layer?

☒ yes ☐ no

If "yes", please indicate preferred limit option:

☐ \$10M xs \$160M ☐ \$20M xs \$160M
☐ \$30M xs \$160M ☐ \$40M xs \$160M
☐ \$50M xs \$160M ☒ \$60M xs \$160M

If you currently buy the maximum limits available (i.e., \$250MM including the umbrella layer) would you be interested in purchasing an additional umbrella layer of \$50MM?
(Note that this question is for planning purposes only.)

☒ Interested ☐ Not Interested

15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

16. Please complete Appendix H to provide underwriting information with respect to cyber liability.

17. Please attach, with Appendix I, a copy of the Firm's 2021 Professional Liability Insurance Application and Exemption Form submitted to LawPro.

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature: 
(Must be signed by a Partner of the Firm)

Name of Signatory: Julia Holland
(Who shall be the designated contact person between CLLAS & the Firm as respects this insurance.)

Date: Feb 26, 2021

APPENDIX A

Predecessor Firms

Name of Firm

Torys LLP

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

[illegible]

APPENDIX B

Active Members of the Firm as of February 15, 2021

Name of Firm

Torlys LLP

Updated as of (Enter Date):

February 15, 2021

	CANADA					
	<u>B.C.</u>	<u>Alberta</u>	<u>Ontario</u>	<u>Quebec</u>	<u>Nova Scotia</u>	<u>Other Provinces (Please specify, change heading)</u>
a) No. of Lawyers ^{/1}	0	18	223	10	14	0
b) No. of Patent & Trademark Agents ^{/2}	0	0	1	0	0	0
c) No. of Non-lawyer Consultants ^{/3}	0	0	1	0	0	0
d) No. of Paralegals	0	2	35	0	2	0
e) No. of Other Employees	0	25	384	8	3	0
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm ^{/4}	0	16	44	3	0	0

/1 Including partners, employed lawyers, counsels/of counsels and lawyer consultants

/2 These are not lawyers.

/3 Please complete Appendix C if individuals are reported under this category

/4 Lawyers reported here should not be included under a).(See note at Question 8.f) of the application.].

/5 Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns

Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.

Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.

If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please provide full particulars such as percentage of practice other than Law.

OUTSIDE OF CANADA ^{/5}				
<u>U.S.</u>	<u>China</u>	<u>South Africa</u>	<u>Other Countries (Please specify, change heading)</u>	<u>Other Countries (Please specify, change heading)</u>
44				
0				
0				
2				
21				
0				

APPENDIX C

**Active Non-Lawyer Consultants Of The Firm As Of February 15, 2021
(Excluding Patent & Trademark Agents)**

Name of Firm

Torys LLP

Updated as of (Enter Date):

February 15, 2021

SECTION A

[illegible]

/1 If underlying insurance is purchased, please complete Section B.

/2 Please complete this column ONLY for individuals who are not acting under the supervision of a lawyer AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

APPENDIX C

Active Non-Lawyer Consultants Of The Firm As Of February 15, 2021
(Excluding Patent & Trademark Agents)

Name of Firm

Torys LLP

Updated as of (Enter Date):

February 15, 2021

SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

APPENDIX D

"Associated Firms" and "Umbrella Firms"

Name of Firm

Torys LLP

[illegible]

APPENDIX E

Professional Services Provided Relating to Non-Canadian Law & Professional Services Provided In the U.S. & Outside of Canada

Name of Firm

Torys LLP

Updated as of (Enter Date):

February 15, 2021

1 Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Province the Canadian Lawyer is based out of	Location of Office (Non-Canadian)	% of Docketed Time Relating to Non-Canadian Law
See attached			

2 Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Province the Canadian Lawyer is based out of	Location of Office (Non-Canadian)	% of Docketed Time in the U.S. Office
		U.S.	
		U.S.	
		U.S.	
		U.S.	
		U.S.	
		U.S.	

For lawyers practicing both Canadian and Non-Canadian Law, please provide a split between Canadian and Non-Canadian. Note that in cases where a split is not available, a 50% 50% split will be assumed.

3 Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Name of Lawyer	Location of Office (Country Only)	# of Lawyers Practice 100% Canadian Law	# of Lawyers Practice 100% Non-Canadian Law	# of Lawyers Practice both Canadian & Non-Canadian Law	Canadian (%)	Non- Canadian (%)	Total (100%)
	United States	2 - David Chaikof & Jenny Wang	36	6 - Tara Mackay, Don Bell, Michele Cousens, Nick Clarkin, Krupa Kotecha and Michael Portner Gartke			0%
							0%
							0%
							0%
							0%

APPENDIX E

Professional Services Provided Relating to Non-Canadian Law & Professional Services Provided In the U.S. & Outside of Canada

Name of Firm	Updated as of (Enter Date):
Torys LLP	February 15, 2021

							0%
--	--	--	--	--	--	--	----

4 Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure:	Lawyer's Professional Liability Insurance
Insurance Carrier:	Columbia Casualty/Berkshire Hathaway/Indian Harbor/Aspen Insurance/Underwriters at Lloyds
Policy Number:	592420538
Period of Insurance:	July 01, 2020 to July 01, 2021
Retroactive Date:	
Limit (Per Claim):	\$30,000,000
Limit (Aggregate):	\$30,000,000

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

APPENDIX E

Professional Services Provided Relating to Non-Canadian Law & Professional Services Provided In the U.S. & Outside of Canada

Name of Firm

Torys LLP

Updated as of (Enter Date):

February 15, 2021

APPENDIX F

Schedule of Claims and Notices As of December 31, 2020

Name of Firm

Torys LLP

Updated as of (Enter Date):

December 31, 2020

Please attach separately with the email

APPENDIX G

Risk Management Policies and Procedures

Name of Firm

Torys LLP

Please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

Please attach separately with the email

APPENDIX H

Cyber Liability

Name of Firm

Torys LLP

1	Personnel	Enter Yes or No
a)	Do you have a Chief Security Officer or Chief Information Security Officer or equivalent?	Yes
	If "no", who within the Firm is responsible for the management of and compliance with the Firm's Security Policies?	
b)	Do you have a Chief Privacy Officer or equivalent?	Yes
	If "no", who within the Firm is responsible for the management of and compliance with the Firm's Privacy Policies?	
2	Protection	Enter Yes or No
a)	Do you use encryption tools to enhance the integrity and confidentiality of confidential information?	Yes
	If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)	
	- Data at rest	Yes
	- Data in transit	Yes
	- Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)	Yes
	- None of the above	
b)	Do you use and regularly update industry-standard antivirus software?	Yes
c)	Do you install the latest software updates to reduce security vulnerabilities?	Yes
d)	Do you require that passwords be a minimum length and contain alpha and numeric characters?	Yes
e)	Do you require that passwords be regularly updated?	Yes
f)	Do you check to make sure that no spyware or adware resides on your computers?	Yes
g)	Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems?	Yes
h)	Is the data on your servers encrypted?	Yes
i)	Is the data on your desktop and laptop computers encrypted?	Yes
j)	Is the data on your mobile devices encrypted?	Yes
k)	Have predesignated computer system/application access rights and privileges been set for all authorized users?	Yes
l)	Is there hourly or daily automatic backup of documents and emails?	Yes
m)	Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems?	Yes
n)	Are backups stored off-site at a secure location?	Yes
o)	Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen?	Yes

APPENDIX H

Cyber Liability

Name of Firm

Torys LLP

- | | | |
|----|---|-----|
| p) | Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files? | Yes |
| q) | Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel? | Yes |

3	Incident Report	Enter Yes or No
---	-----------------	-----------------

	Do you have a written network security incident response plan?	Yes
--	--	-----

If "yes":

- | | | |
|----|---|-----|
| a) | Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated? | Yes |
| b) | Does it include procedures to alert your clients that their data may have been compromised? | Yes |

4	Policies	Enter Yes or No
---	----------	-----------------

- | | | |
|----|---|-----|
| a) | Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis? | No |
| b) | Do you advise your lawyers of the risks of using unencrypted email? | Yes |
| c) | Does your firm advise your lawyers of the dangers of metadata? | Yes |
| d) | Do you purchase insurance other than CLLAS coverage to protect you in the case of privacy breaches? | Yes |
| e) | Do you purchase insurance other than CLLAS coverage to protect you in the case of cyber-attacks? | Yes |

APPENDIX I

2021 Professional Liability Insurance Application and
Exemption Form Submitted to LAWPRO

Name of Firm

Torys LLP

Please attach separately with the email

<u>Location</u>	<u>TKID</u>	<u>Name</u>	<u>Professional Corporation</u>
Calgary	500081	Christopher (PC)	C.A. Christopher Professional Corporation
	500002	Cochlan (PC)	Scott R. Cochlan Professional Corporation
	500045	Cusano (PC)	Luigi A. Cusano Professional Corporation
	500026	Cuschieri (PC)	David V. Cuschieri Professional Corporation
	101203	Danner (PC)	Peter Danner Professional Corporation
	500061	Flaman (PC)	Derek S. Flaman Professional Corporation
	500003	Fougere (PC)	Kevin A. Fougere Professional Corporation
	500005	Jugnauth (PC)	Neville Jugnauth Professional Corporation
	500089	Kashuba (PC)	Kyle D. Kashuba Professional Corporation
	500014	Maurice (PC)	Craig Maurice Professional Corporation
	500004	Paskaran (PC)	Janarthanan Paskaran Professional Corporation
	500013	Pedlow (PC)	Michael Pedlow Professional Corporation
	500054	Stimpson (PC)	Stephanie C. Stimpson Professional Corporation
	500030	Bedford (PC)	Andrew P. Bedford Professional Corporation
	500072	Tysowski (PC)	Lianne J Tysowski Professional Corporation
	500047	Wood (PC)	David Wood Professional Corporation
Montreal	101329	McNamara (PC)	William McNamara Professional Corporation
	700018	Richter (PC)	Societe Professionnelle Christopher Richter Inc.
	700000	Rodrigue (PC)	Sylvie Rodrigue Professional Corporation
Toronto	100513	Akkawi (PC)	Michael Akkawi Professional Corporation
	101193	Assaf (PC)	Dany H. Assaf Professional Corporation
	113634	Bailey (PC)	T. Bailey Professional Corporation
	101124	Bain (PC)	Mark Bain Law Professional Corporation
	100535	Balasubramanian (PC)	Amanda Balasubramanian Professional Corporation
	100688	Berman (PC)	Guy Berman Professional Corporation
	100517	Bernstein (PC)	Andrew Bernstein Professional Corporation
	101194	Bhasin (PC)	Amarpreet S. Bhasin Professional Corporation
	100324	Cockburn (PC)	Matthew Cockburn Professional Corporation
	113625	Delean (PC)	Adam Delean Professional Corporation
	100893	Dell (PC)	David Dell Professional Corporation
	100520	Emanoilidis (PC)	John Emanoilidis Professional Corporation
	101001	Evans (PC)	Huw Evans Professional Corporation
	113585	Feldman (PC)	Michael Kevin Feldman Professional Corporation
	101125	Ford (PC)	Daniel A. G. Ford Professional Corporation
	100665	Fortier (PC)	Michael J. Fortier Professional Corporation
	101116	Frank (PC)	Leah Frank Professional Corporation
	100889	Frazer (PC)	Mitch Frazer Professional Corporation
	100894	Gherbaz (PC)	Sabrina Alison Gherbaz Professional Corporation
	113651	Helbronner (PC)	Valerie Helbronner Professional Corporation
	100368	Hill (PC)	Plumpton Hill Professional Corporation
	113622	Keefe (PC)	Blair Keefe Professional Corporation
	113650	Keizer (PC)	Charles Keizer Professional Corporation
	100446	Koziskie (PC)	Cameron Koziskie Professional Corporation
	401273	Lake (PC)	K. T. Lake Professional Corporation

	101380	McCutcheon (PC)	Jill McCutcheon Professional Corporation
	100831	McMahon (PC))	Eileen McMahon Professional Corporation
	113645	Morris (PC)	Kevin Morris Professional Corporation
	100374	Plumpton (PC)	Plumpton Hill Professional Corporation
	100375	Powys-Lybbe (PC)	Powys-Lybbe Professional Corporation
	101098	Rahman (PC)	Ebad Rahman Professional Corporation
	100579	Rawlinson (PC)	H Graham Rawlinson Professional Corporation
	101486	Schafer (PC)	Charlene Elizabeth Schafer Professional Corporation
	100473	Seville (PC)	David A. Seville Professional Corporation
	100530	Sternberg (PC)	Arlen Sternberg Professional Corporation
	113629	Symmonds (PC)	Philip Symmonds Professional Corporation
	100562	Terry (PC)	John Terry Professional Corporation
	101047	Wakil (PC)	Omar Wakil Professional Corporation
	101379	Watson (PC)	Gwendolyn G. Watson Professional Corporation
	101276	Wortsman (PC)	Jerald Wortsman Professional Corporation
	100632	Wright (PC)	Cornell Wright Professional Corporation
	113564	Roger (PC)	Donald B. Roger Professional Corporation
	101381	Morris (PC)	Kelly Morris Professional Corporation
	113574	Viner (PC)	Les Viner Professional Corporation

**Active Members of Calgary Office of Applicant
February 15, 2021**

Lawyer	Admitted to the Alberta Bar	Year joined Applicant	Year became Partner
David Kolesar	2004 (Ontario 2002)	2015	Counsel
Jennifer Baugh	2011	2019	N/A
Ian Gordon	2011	2016	N/A
Amy Maginley	2012	2013	Counsel
Tyrel Henderson	2014	2014	N/A
Gino Bruni	2015	2015	N/A
Taylor Campbell	2016	2020	N/A
Jaspreet Mann	2016	2017	N/A
Michael Despres	Pending (New York 2017)	2020	N/A
Carleigh Kennedy	2017	2016	N/A
Jonathan McDonald	2017	2018	N/A
Collin Intrater	2018	2019	N/A
Michelle Holmes	2019	2019	N/A
Tanis Makowsky	2019	2019	N/A
Melodie Adamson	2020	2020	N/A
Cassandra Lozynsky	2020	2020	N/A
Geoffrey Kelsall	2021 (Ontario 2015)	2015	N/A
Jacquelyn Smalley	2021 (Ontario 2020)	2020	N/A

**Active Members of Halifax Office of Applicant
February 15, 2021**

Lawyer	Admitted to the Nova Scotia Bar	Year joined Applicant	Year became Partner
Christopher J. Fowles	2014 (Ontario 1996; England & Wales 2000)	1996	2005
Penny Harding	1999 (Ontario 2015, Alberta 2016)	2015	N/A
Shawn Langlois	2015 (Ontario 1999)	2014	N/A
Andrew McFarlane	2003 (Ontario 2015)	2015	N/A
Jessica Lumiere	2011 (Ontario 2003)	2019	N/A
Sarah Chisholm-Lloy	2015 (Ontario 2012)	2017	N/A
Christopher Lirette	2013 (Ontario 2018)	2018	N/A
Teresa Crawshaw	2014 (Ontario 2018)	2017	N/A
James Kehoe	2014	2018	N/A
Sarah R. Reardon	N/A (New Brunswick 2015; Ontario 2018)	2017	N/A
Sylvie Thériault	2016 (Ontario 2019)	2018	N/A
Sundeeep Oad	2017 (Ontario 2017)	2017	N/A
William Walters	2017 (Ontario 2011)	2019	N/A
Katie Barnstead	2018 (Ontario 2020)	2019	N/A

**Active Members of Montreal Office of Applicant
February 15, 2021**

Lawyer	Admitted to the Québec Bar	Year joined Applicant	Year became Partner
Se-Line Duong	2020 (2020 Ontario)	2020	N/A
Ana Ioanas	2019	2020	N/A
Emma Loignon-Giroux	2018 (2018 Ontario)	2020	N/A
Corina Manole	2015	2019	N/A
Matthew Angelus	2014	2017	N/A
Chantale Dallaire	2013	2017	N/A
Rebecca Moskowitz Wagner	N/A (2013 Ontario)	2013	N/A
Cristelle Sary	2011 (2003 Ontario)	2020	N/A
Marie-Ève Gingras	2009 (2015 Ontario)	2013	N/A
Guillaume Lavoie	2007	2019	2019

Active Members of New York Office of Applicant
February 15, 2021

Lawyer	Admitted to the New York Bar	Year joined Applicant	Year became Partner
Andy Beck	1973 (1992 Pennsylvania) (1972 Virginia)	2000	2000
David Chaikof	N/A (1987 Ontario)	1988	1988
Don Bell	1994 (1994 BC, 1994 NY)	2014	2014
Jared Fontaine	2008 (2001 Pennsylvania) (2001 New Jersey)	2007	2012
Amy Johnson-Spina	2001 (2001 New Jersey)	2001	2009
Joseph (Jay) Romagnoli	1987 (1989 District of Columbia)	2000	2000
Stefan Stauder	2000 (1997 Munich, Germany)	2010	2010
David Wawro	1978	2000	2000
Miroslav Fajt	1981	2000	2000
Peter Keenan	1991	2000	2000
Darien Leung	1996	2001	2006
Scott Semer	1998	2015	2015
Christopher Caparelli	2000	2005	Counsel
Mile Kurta	2002	2012	2002
Venera Ziegler	2003	2018	2018
Tara Mackay	2016 (2002 Ontario)	2009	2011
Jonathan Wiener	2002	2007	2007
Michael Horwitz	2004	2013	2019
Glen (David) Mattingly	2007	2005	2014
Judah (Ari) Feder	2008	2010	N/A
Heding Yang	2008	2007	Counsel
Batya Nadler	2009	2016	N/A
Christopher Bornhorst	2010	2016	2021
Zahava Blumenthal	2011	2018	Counsel
Christine Lin	2011	2017	N/A
Steven Bentsianov	2012	2018	N/A
Max Shakin	2012 (2011 New Jersey)	2014	N/A
Meghan McKeever	2013	2014	N/A
Alexander Tanenbaum	2013	2017	N/A
Michele Cousens	Pending (2014 Ontario)	2014	N/A
Jonathan Conigliari	2014	2019	N/A
Michael Portner Gartke	2014 (2015 Ontario)	2019	N/A
Rachel Goodwin	2015	2019	N/A
Kimberly Heessels	2017	2020	N/A
David Marshall	2017	2021	N/A
Rayanna Smith	2017	2020	N/A

Lawyer	Admitted to the New York Bar	Year joined Applicant	Year became Partner
Nick Clarkin	2018 (2017 Ontario)	2016	N/A
Krupa Kotecha	2018 (2017 Ontario)	2020	N/A
Lisa Matsue	2018	2021	N/A
Christopher Saki	2018	2019	N/A
Nico Basil	2019	2018	N/A
Kevin Chan	2019	2019	N/A
Hasnaa El Rhermoul	2019	2020	N/A
Jenny Wang	Pending (2020 Ontario)	2019	N/A
Winnie Hu	Pending	2020	N/A

**Active Members of Toronto Office of Applicant
February 15, 2021**

Name of Applicant: Torys LLP

Lawyer	Admitted to Ontario Bar	Year joined Applicant	Year became Partner
Frank Iacobucci	1970	2005	Senior Counsel
Benjamin Geva	1970	2011	Counsel
Sheila R. Block	1974	1974	1980
J. Robert S. Prichard	1978	2010	Chairman
Patricia D.S. Jackson	1979	1979	1985
Richard J. Balfour	1980 (England & Wales 2000)	1981	Senior Counsel
Corrado Cardarelli	1983	1983	1989
Janet Holmes	1993	2020	Counsel
James C. Tory	1983	1983	1989
Cheryl Reicin	1985 (Massachusetts 1989)	2004	2004
Richard G. Willoughby	1987 (NY 2002)	1987	1993
Tony DeMarinis	1989	1989	1995
Brigitte Goulard	1989	2019	Senior Counsel
John Tobin	1989	2002	2002
Julia Holland	1992	1992-2002/2006	N/A
Peter A. Aziz	1993	1993	Senior Counsel
Andrew M. Shaughnessy	1993	2001	2003
David Steele	1993	1993	1999
John Fabello	1994	2005	2005
Glen R. Johnson	1994	2000	2002
Bernard Kwasniewski	1994 (NY 1997; Cali. 2005)	2008	Counsel
Scott A. Bomhof	1995	1995-97/2001	2001
Dennis E. Mahony	1995	1995	2001
Michelle Nelles	1995	2011	Counsel
Darryl Hiscocks	1996	2014	Counsel
Simon C. Knowling	1996	1996	2002
Michael Amm	1998 (NY 2001)	1998	2005
Adam S. Armstrong	1998	1998	2005
Scott Bell	1998	2010	2020

Lawyer	Admitted to Ontario Bar	Year joined Applicant	Year became Partner
Scott Kraag	1998 (B.C. 1998; England & Wales 2002)	2002	2007
Susan Nickerson	1998 (NS 2018)	2013	2013
Christine Vogelesang	1998	2006	Counsel
David Bish	1999	2010	2010
Michael T. Pickersgill	1999	1999	2007
Melanie Rowand	1999	2015	N/A
Aaron Emes	2000	2000	2020
David Outerbridge	2000	2001	2014
Grant Worden	2000	2004	2019
Jim S. Hong	2001	2002	2008
Andrew Silverman	2001	2013	Counsel
Lisa Talbot	2001	2001	2008
Thomas Yeo	2001	2001	Counsel
Tom Zverina	2001	2001	2008
Edward Fan	2002	2006	2010
Andrew D. Gray	2002	2002	2010
Richard W. Johnson	2002	2002	2017
Rima Ramchandani	2002	2002	2010
Andrew Wong	2002	2006	2011
Nadine Rockman Katz	2003 (NY 2004)	2003	Counsel
Tyson Dyck	2004	2005	2015
Patricia McMahon	2004	2016	N/A
Jonathan Myers	2004	2009	Counsel
Milosz Zemanek	2004 (NY 2005)	2010	2016
Jon Cescon	2005 (NY 2006)	2005	N/A
Gillian B. Dingle	2005	2005-11/2015	2018
Jennifer Lennon	2005	2005	Counsel
Martha MacDonald	2005	2015	2015
Leonard Nesbitt	2005	2013	Counsel
Simon Williams	2005 (NY 2008)	2011	Counsel
Saira Bhojani	2006	2006	Counsel
Michael Zackheim	2006	2006	2015
Yael Bienenstock	2007	2007	2020
Joel Ramsey	2007 (NY 2004)	2014	2014

Lawyer	Admitted to Ontario Bar	Year joined Applicant	Year became Partner
Teresa A. Reguly	2007	2007	2016
Adam M. Slavens	2007	2007	2017
Karen Townsend	2007	2007	Counsel
Matthew Atkey	2008	2008	Counsel
Jamie Becker	2008	2008	2021
Nina Mansoori	2008	2008	2017
Myriam Seers	2008	2012	N/A
Adam Banack	2009	2009	Counsel
Adrienne DiPaolo	2009	2009	2019
Morgan Dunnigan	2009	2009	N/A
Stephanie Gabor	Pending (2009 NY)	2020	Counsel
Andrew Gibbons	2009 (England & Wales 2005)	2011	2021
Raegan Kennedy	2008	2018	N/A
Joshua Lavine	2009 (NY 2012)	2009-10/2012	2019
Alexandra Peterson	2009	2014	Counsel
Molly Reynolds	2009	2009	Counsel
Danielle Traub	2009	2018	N/A
Robert Leibel	2010	2010	2019
Nicole Mantini	2010	2010	Counsel
Eli Monas	2010	2010	Counsel
Paulina Taneva	2010	2010	N/A
Sultana Bennett	2011 (2007 NY)	2020	N/A
Tasha De Freitas	2011	2016	N/A
Laurie Duke	2011 (NY 2005)	2010	2015
Yolande Dufresne	2011 (NY 2017)	2011	Counsel
James Gotowiec	2011	2011	Counsel
Adeyinka Olusoga	2011	2011	N/A
Jeremy Opolsky	2011 (2012 NY)	2014	2020
Zehra Sheerazi	2011	2012	N/A
Steven Slavens	2011	2011	Counsel
Sarah Whitmore	2011	2011	2021
Rebecca Wise	2011	2011	N/A
Ryan Unruch	2011 (NY 2007; Mass 2006)	2013	Counsel
Josh Van Deurzen	2011 (NY 2009)	2010-11/2015	2019
Stephen Abrahamson	2012	2012	N/A
Nooreen Bhanji	2012	2012	N/A
Lily Coodin	2012 (NY 2015)	2012	N/A
Zirjan Derwa	2012	2017	N/A

Lawyer	Admitted to Ontario Bar	Year joined Applicant	Year became Partner
David Forrester	2012	2012	N/A
Melissa Prado	2012	2012	N/A
Patrick Reynaud	2012	2016	N/A
	(Quebec 2012)		
Thomas Stevenson	2012	2012	N/A
	(Alberta 2016)		
Marko Trivun	2012	2012	N/A
Shannon Gotfrit	2013	2011	2016
	(NY 2008)		
Jessica Bishop	2013	2015	N/A
Sarah Carter-Hoffman	2013	2013	N/A
Sophie Courtois	2013	2013	N/A
Jordan Fenton	2013	2013	N/A
Frazer House	2013	2013	N/A
Lauren Hulme	2013	2017	N/A
Steve Hundal	2013	2015	N/A
Braden Jebson	2013	2013	N/A
Carly Klinkhoff	2015	2018	N/A
	(2013 NY)		
Ryan Lax	2013	2013	N/A
Rachael Saab	2013	2013	N/A
Ronak Shah	2013	2018	N/A
Bradley Tartick	2013	2013	N/A
Jacob Weinstock	2013	2013	N/A
Albert Chan	2014	2014	N/A
Marissa Daniels	2014	2014	N/A
Michael Jack	Pending	2018	N/A
	(New Zealand 2014)		
Irfan Kara	2014	2014	N/A
	(AB 2019)		
Daniel Masliyah	2014	2018	N/A
Mark Morrison	Pending	2018	N/A
	(NY 2014)		
Nicole Zeit	2014	2019	N/A
Kevin Armitage	2015	2015	N/A
Leslie Blumer	2015	2019	N/A
Crystal Chung	2015	2015	N/A
Na Fan	2015	2018	N/A
Lara Guest	2015	2015	N/A
Michael Jason	2015	2015	N/A
Leora Jackson	2015	2016	N/A
Stephen Neil	2015	2015	N/A
Owen Payne	2015	2015	N/A
Jacob Posen	2015	2015	N/A
Henry Ren	2015	2015	N/A
Brett Saulnier	2015	2015	N/A
Alexandra Shelley	2015	2015	N/A
Emily Sherkey	2015	2015	N/A

Lawyer	Admitted to Ontario Bar	Year joined Applicant	Year became Partner
Rose Chen	2019 (BC 2016)	2019	N/A
Justin Crawford	2016	2016	N/A
Emily Debono	2016	2016	N/A
Adam Falconi	2016 (NY 2017 & Mass. 2019)	2020	N/A
Selam Lyons	2016	2016	N/A
Ian Li	2016	2018	N/A
Benjamin Mann	2016	2019	N/A
Michael Murphy	2016	2016	N/A
Steven Rotchtin	2016	2015	N/A
Emma Sako	2016	2016	N/A
Davida Shiff	2016	2016	N/A
Jonathan S. Silver	2016	2017	N/A
Manpreet Singh	2016	2016	N/A
Tosh Weyman	2016	2016	N/A
Patrick Chapman	2017	2017	N/A
Shalom Cumbo-Steinmetz	2017	2017	N/A
Stacey Danis	2017	2017	N/A
Mitchell T. Dost	2017	2017	N/A
Si Yang He	2017	2017	N/A
Vidushi Hora	2017	2017	N/A
Michael J. Meguid	2017	2017	N/A
Taylor R. Overing	2017	2017	N/A
Tim Pavlov	2017	2017	N/A
Michael Steele	2017	2017	N/A
Joshua D. Teichman	2017	2017	N/A
Nicholas Wall	2017	2017	N/A
Justin Brook Wong	2017	2017	N/A
Yu Seon Gadsden-Chung	2017	2017	N/A
Brody Appotive	2018	2018	N/A
Melody Burke	2018	2018	N/A
Stefan Case	2018	2018	N/A
Michele Clarizio	2018	2018	N/A
Danielle Colliver	2018	2019	N/A
Pat Denroche	2018	2018	N/A
Sue Fei	2018	2018	N/A
Winston Gee	2018	2018	N/A
Cal Hunter	2018	2018	N/A
Adam Ibrahim	2018	2018	N/A
Jesse Leonard	2018	2018	N/A
Yehuda Levi	2018	2018	N/A
Josh Morry	2018 (2018 MB)	2018	N/A
Keton Motta Freeman	2018	2018	N/A
Diana Pegoraro	2018	2018	N/A
Alicja Puchta	2018	2018	N/A
Marshall Rossiter	2018	2018	N/A

Lawyer	Admitted to Ontario Bar	Year joined Applicant	Year became Partner
Erin Shin	2018	2019	N/A
Shane Thomas	2018	2018	N/A
Hailey Schnier	2018	2018	N/A
Hannah Allen	2019	2019	N/A
Parker Baglole	2019	2019	N/A
Anthony Bishop	2019	2019	N/A
Marco Cator	2019	2019	N/A
Claire Chapman	2019	2019	N/A
Christophe Cinqmars-Viau	2019	2019	N/A
Nick Germanakos	2019	2019	N/A
R. Craig Gilchrist	2019	2019	N/A
Samantha Holloway	2019	2019	N/A
	(2018 MB)		
Christina MacKinnon	2018	2019	N/A
Adrienne Oake	2018	2019	N/A
Scarlett O'Shea	2019	2019	N/A
Eric Patenaude	2019	2019	N/A
Denise Ramsden	2019	2019	N/A
Sarah Strong	2019	2019	N/A
Jacob Babad	2020	2020	N/A
Alex Bogach	2017	2020	N/A
Brianna Cowling	2020	2020	N/A
Nenad Dumanovic	2020	2020	N/A
Sarah Fallis	2020	2020	N/A
Henry Federer	2020	2020	N/A
Matthew Gragtmans	2020	2020	N/A
Stephanie Gurrieri	2020	2020	N/A
Colby Harris	2020	2020	N/A
Tamara Jewett	2019	2020	N/A
Patrick Kanopoulos	2020	2020	N/A
Eunice Kwon	2020	2020	N/A
Melissa Lowy	2020	2020	N/A
Morag McGreevey	2020	2020	N/A
Tristan McLeod	2020	2020	N/A
Mike Noel	2020	2020	N/A
Alison Shamie	2020	2020	N/A
Emily Stewart	2020	2020	N/A

Supplement to Appendix E (2021-2022)

1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Name of lawyer	Location	% time docketed
Cheryl Reicin	Toronto	100%
Shannon Gotfrit	Toronto	50%
Bernard Kwasniewski	Toronto	20% to 30%
Michael Amm	Toronto	15%
Laurie Duke	Toronto	15%
Ricco Bhasin	Toronto	50%
Josh Lavine	Toronto	5%
Konata Lake	Toronto	25% to 30%
Josh Van Deurzen	Toronto	50%
Yolande Dufresne	Toronto	10%
Ryan Unruch	Toronto	15% to 20%
Mark Morrison	Toronto	100%
Stephanie Gabor	Toronto	100%

[illegible]

Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society						CLLAS									
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Total Incurred	CLLAS Indemnity Paid	CLLAS Legal Paid	CLLAS Adjusting Paid	CLLAS Recov Other Paid	CLLAS Adjusting Reserve	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Recov Other Reserve	CLLAS Total Incurred	
CLLAS2018-006	17E1220	18-Jul-2017	1-Oct-2015	Michael Akkawi	Libyan Post Telecommunications & Information Technology	30-Jul-2018	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2018-076	18E0276	15-Feb-2018	18-Dec-2017	Kevin Tuohy	Time's Up Group LLC	10-Apr-2018	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2018-094	NY	26-Apr-2018		Torys LLP	Brookfield Asset Management/Trisura Group Ltd.	9-Jan-2020	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2019-003	18E1289	12-Jul-2018	22-Jan-2018	Andrew Bernstein	Enercare	18-Jul-2019	\$ -	\$ -	\$ 2,848	\$ -	\$ -	\$ 2,848	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2019-089	18E2426	21-Dec-2018	27-Jun-2016	David Steele	William James Estate	29-Jul-2019	\$ -	\$ -	\$ 2,774	\$ -	\$ -	\$ 2,774	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2019-099	TBA	22-Apr-2019	12-Dec-2018	Scott Cochlan	Acumen Capital Finance Partners Limited		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2020-034	TBA	18-Sep-2019	5-Sep-2019	Molly Reynolds	Walmart Canada	30-Sep-2019	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2020-046	19E2316	28-Oct-2019	8-Apr-2014	Omar Wakil	Grupo Bimbo		\$ -	\$ -	\$ 10,000	\$ -	\$ 10,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2021-002	20E1070	10-Jul-2020	8-May-2020	John Terry	Jessica McDonald		\$ -	\$ -	\$ -	\$ 15,000	\$ 15,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2021-005	20E0581	17-Aug-2020		Tom Zverina	TORBSA	19-Aug-2020	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2021-006	20E1232	13-Aug-2020	27-Mar-2017	Joel Ramsey	Vertex Data Utility Services, LLC		\$ -	\$ -	\$ 5,000	\$ 5,000	\$ 10,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2021-060	21-0341	18-Dec-2020		Kevin Fougere	HSBC Bank Canada		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Total							\$ 3,169,415	\$ 34,754	\$ 2,575,869	\$ 15,000	\$ 40,000	\$ 5,892,602	\$ 37,663,469	\$ 4,617,537	\$ 327,187	\$ 825,000	\$ -	\$ -	\$ 444,114	\$ -	\$ 42,227,307	

APPENDIX G

RISK MANAGEMENT POLICIES AND PROCEDURES

Name of Firm: Torys LLP

Please provide a full description of the Firm's most current risk management policies and procedures (including risk management audits) or, if appropriate, an update to your response to Appendix G of last year's renewal application.

Our risk management policies and procedures include the following:

1. The firm has a Risk Management Committee, a General Counsel, an Assistant General Counsel and a Risk Management Law Clerk to implement and oversee its risk management policies and procedures and recommend new initiatives. The objective is to adopt best practices in all of our offices.
2. Before a file can be opened, all relevant names must be searched against a computerized data base. A pdf of the results of the search is sent by email to the lawyer requesting the new file. He or she is required to confirm that they have reviewed the search and that there is no conflict or that necessary consents have been obtained. To the extent that the search results in "hits" on confidential matters, a member of the Risk Management Committee is informed and is responsible for clearing any conflict issues relating to the confidential matters. The members of our Risk Management Committee are generally available to discuss and to assist in resolving contentious or difficult conflicts issues.
3. We have a customized electronic matter intake system. The system incorporates law society KYC requirements. Among other things, the electronic system requires: (i) confirmation that conflicts have been cleared; (ii) a description of the new matter including the roles of the various parties; (iii) confirmation that an engagement letter has been sent or will be sent imminently; and (iv) information about the area of law involved and who will be the supervising lawyer. If the proposed new matter is for a new client, the lawyer must answer several questions regarding the proposed client's financial suitability and its reputation generally, and that information will be reviewed by a member of the Risk Management Committee who will approve or deny the request to take on the proposed new client. Clients to whom we have not docketed in 12 months are treated as new clients.
4. We require that engagement letters be prepared for each new matter unless an exemption is received from the Risk Management Committee. We have developed standard form letters that identify the client, describe the nature of the matter, list the parties who have been searched for conflict purposes, identify any conflict issues, include consents as necessary, include provisions to address joint

engagements as necessary, etc. Members of the Risk Management Committee are available to assist lawyers with drafting their engagement letters.

5. Consent of the firm's managing partner, in consultation with the Risk Management Committee, is required before a lawyer is permitted to accept any outside directorship. The organization on whose board the lawyer/director proposes to sit must acknowledge that the person is serving as director in their personal capacity and not as a representative of Torys and that that person will not be providing legal advice to the organization. While we encourage our lawyers to sit on boards of not for profit entities, they are discouraged from sitting on boards of for profit entities and those requests are ordinarily denied.
6. We have an extensive system of internally generated legal memoranda.
7. Each new lawyer is assigned a senior mentor and a junior mentor for their first three years at the firm. Associate lawyers continue to have a mentor until they are admitted to the partnership.
8. We have an extensive in-house program of orientation and continuing education. This consists of a structured set of programs for new lawyers and periodic firm-wide presentations on topics of current interest/importance. This is under the overall supervision of the Director of Associate Development and Training.
9. We periodically hold similar firm-wide training sessions on matters specifically related to risk management. These sessions are mandatory. Examples include sessions on conflicts of interest, information technology and risk with an emphasis on confidentiality, cyber security and the perils of mobile devices, and a session on the management of material undisclosed information and trading in securities.

Our lateral hires also receive training upon their arrival at the firm through a structured set of programs. That training includes a session with one of the lawyers in the General Counsel's office.

10. In 2020, given the sudden and firmwide transition to working from home, we held training sessions and issued frequent communications regarding the particular challenges associated with remote work. These emphasized cyber security risks but also recognized other risks, including proper supervision, isolation and/or siloed practice, appropriate delegation and professional development.
11. Designated partners act as workload coordinators in various departments (e.g. corporate, litigation) to ensure that new work is distributed evenly among junior lawyers and that no lawyer is overloaded with work in relation to his or her peers.
12. Associates are reviewed annually to, among other things, identify potential problem areas and ensure that the associate is developing at the appropriate pace.

13. Partners are reviewed annually. One element of the review examines their interaction with junior lawyers on files they are supervising to ensure that proper leadership and guidance is being given. The process includes upstream feedback pursuant to which the junior lawyers are asked to assess the partners' performance in these areas.
14. Partner compensation is based on an assessment of each partner's overall contribution to the firm and not on a formula basis. The assessment takes into account team building behaviour, professional competence, business and practice building, client responsibility, thought leadership, development of other partners and associates, financial contribution, file responsibility and management, firm responsibilities, practice management, collegiality, firm reputation building, market factors, and overall effort, commitment and accomplishment. The firm does not track "origination credits".
15. The various practice groups within the firm (e.g. tax, litigation, intellectual property, M&A) hold regular meetings to give all members of the group a chance to keep up-to-date on their area of practice.
16. Our lawyers practice as members of a larger team and not as sole practitioners within the firm. We make a conscious effort to ensure that there are no "silos." The majority of our matters are large matters staffed by many lawyers.
17. The firm maintains a limitation reminder system. When a file is opened or a matter received which involves a potential limitation period, a form must be completed. This information is then entered in our electronic limitation system and periodic reminders are sent to the lawyers involved.
18. Each client has a designated client relationship partner and each matter has a responsible lawyer and a billing lawyer (in appropriate cases, one lawyer can fill all three positions).
19. At least two partners must review opinions of any complexity.
20. A research partner is available to consult on complex opinions.
21. Our General Counsel handles all claims reporting and is available to discuss circumstances that could result in a claim. She reports all claims and reportable circumstances.
22. We have a dedicated team of librarians and the latest on-line research facilities available in-house.
23. All trades in publicly-held securities by employees of the firm and, in certain cases, their families must be pre-approved by the firm. This is intended to reduce the possibility of trades being made in circumstances where the firm is in possession of material undisclosed information concerning the issuer whose securities are being traded.
24. The firm has a whistleblower policy. It notes that each firm member owes a duty to the firm – and not to any individual – and an obligation to report any concerns. Reports will be treated confidentially and there will be no adverse consequences

to the person making the report (provided it is bona fide). Reports may also be made anonymously.

25. The firm has a professional manual through which all lawyers familiarize themselves with the firm's policies, procedures and requirements. These include the policies referred to in the foregoing sections. Seminars are held for, and practice notes and matters of professional interest are communicated to, all lawyers on a regular basis to ensure that they are made aware of all recent developments in the law. Lawyers are required to confirm their understanding of, and familiarity with the firm's risk management policies on an annual basis.
26. In 2004, 2010, 2015, and most recently in 2020 the firm hired an external consultant to conduct a risk management audit of the firm. The 2015 report noted that "[t]he firm has an excellent approach to professional liability risk management and strong management support for professional liability risk management." We are currently awaiting the results of our latest audit which was conducted in December 2020.



My LAWPRO > File Online > Firm Summary Form

My LAWPRO

Online 2021 Firm Summary Form

[FAQs](#)

NOTE: For the purposes of this Application, LAWYER means each person who holds a Class L1 licence pursuant to the by-laws of the *Law Society Act*.

Please review carefully. To make further changes or corrections before submitting, click on the Tabbed section of the application. Press the '**Submit**' button at the end of this review to complete the online filing.

A062190 TORYS LLP

Instructions	Firm Information	Member List	Coverage Options	Payment Information	Warranty & Signature
---------------------	-------------------------	--------------------	-------------------------	----------------------------	---------------------------------

General LAW FIRM Information

- 1. Name of LAW FIRM:** TORYS LLP

Address: #3000 - 79 WELLINGTON ST WEST
BOX 270, TD CENTRE
TORONTO, ON
M5K 1N2

Phone Number: 416-865-0040

Fax Number: 416-865-7380

E-mail address: sbhayat@torys.com

Firm Website(s): www.torys.com/

Managing Partner/LAWYER: 36663C -- MATTHEW WILLIAM COCKBURN

Office Admin./Manager: KIMBERLY M. SHELDRAKE-HEAD

Claims Contact: JULIA HOLLAND

CPD Contact: DEBORAH DALFEN

Nature of Law Practice: Partnership - LLP
- 2. Contact name and title:**

Indicate the preferred firm contact for insurance matters.

Name: Julia Holland

Title: Director, Risk Management
- 3. Number of PARALEGAL PARTNER(S) OR SHAREHOLDER(S) (if applicable) and LAWYERS in LAW FIRM in Ontario:**

Indicate the current number of PARALEGAL PARTNER(S) OR SHAREHOLDER(S) (if applicable) and LAWYERS in the LAW FIRM in Ontario.

281
- 4. Number of staff in LAW FIRM in Ontario who are not LAWYERS:**

Indicate the current number of staff in the LAW FIRM in Ontario who are not LAWYERS. Include all staff who provide law-related services who are directly or indirectly employed, whether through management, other companies or otherwise, by the LAW FIRM or by any of the LAWYERS in the LAW FIRM or any spouse, including those EMPLOYEES who are casual or part-time EMPLOYEES. Independent contractors such as bookkeepers, PARALEGALS, researchers, etc. who are not EMPLOYEES of the LAW FIRM should not be included.

378

5. Excess liability insurance:

Indicate the name of the insurer and excess liability insurance limits. Limits are in addition to the required \$1 million per CLAIM/\$2 million in the aggregate primary LAWPRO policy limits.

Your excess insurers include:

Canadian Lawyers' Liability Assurance Society

Total Excess Liability Limits:

per CLAIM/aggregate above LAWPRO POLICY limits (for all Excess coverage carried)

\$ 49 Million per claim

\$ 49 Million aggregate

6. Volume Billings:

Indicate the **Average** Gross Billings **per LAWYER** in the LAW FIRM.

Average Gross Billings (select one):

AV14 - Average Gross Billings: over \$500,000

Firm Member List

Listed below are the names and LSO numbers for all partners, associates and/or employed **LAWYERS** in the LAW FIRM, who are to be included in this application for Professional Liability insurance.

R - LAWYER Removed; **N** - New LAWYER; **U** - LAWYER info Updated.

LSO#	Last Name	First Name	Status
62086P	ABRAHAMSON	STEPHEN ERIC	
41809P	AKKAWI	MICHAEL FIRAS EDWARD	
77889I	ALLEN	HANNAH MARGARET	
40324Q	AMM	MICHAEL DAVID	
75342T	APPOTIVE	BRODY SHEV	
68646W	ARMITAGE	KEVIN ALISTAIR	
40750U	ARMSTRONG	ADAM STEVEN	
37728H	ASSAF	DANY HASSEN	
34068U	AZIZ	PETER ALLAN	
79256F	BABAD	JACOB DANIEL MULRONEY	
76909M	BAGLOLE	PARKER KEIR	
30550K	BAILEY	TRIANATFYLIA	
29376R	BAIN	MARK WILLIAM STEWART	
41641U	BALASUBRAMANIAN	AMANDA CECILIA	
20459A	BALFOUR	RICHARD JAMES	
56699U	BANACK	ADAM HENRY	
56046H	BECKER	JAMIE MATTHEW	
51839E	BELL	DONALD ROSSLYN MURRAY	
40761K	BELL	SCOTT WYNSHIP	
45594J	BERMAN	GUY	
42191F	BERNSTEIN	ANDREW ELLIOT	
62114J	BHANJI	NOOREEN	
52474F	BHASIN	AMARPREET SINGH	
52187R	BHOJANI	SAIRA	
53927H	BIENENSTOCK	Yael SARAH	
41629A	BISH	DAVID BRUCE	
76921J	BISHOP	ANTHONY ALLAN-MAURICE	
63565P	BISHOP	JESSICA ELIZABETH	
14089N	BLOCK	SHEILA RUTH	
68018A	BLUMER	LESLIE ANNE	
72138L	BOGACH	ALEXANDER REUBEN	N U
37006F	BOMHOF	SCOTT ALEXANDER	
74933T	BURKE	MELODY ETHEL	
22646U	CARDARELLI	CORRADO	

64342B	CARTER	SARAH ALISON KATHLEEN
75404C	CASE	STEFAN MICHAEL
76940B	CATOR	MARCK-OLIVIER
50702D	CESCON	JONATHAN RONALD
26848N	CHAIKOF	DAVID ARON
65826E	CHAN	KAR-LOK ALBERT
76949L	CHAPMAN	CLAIRE FRANCES FERRIER
72161V	CHAPMAN	PATRICK JOSEPH ROBERT
76448T	CHEN	ZHU JUN
68039H	CHUNG	CRYSTAL SUE-TAO
77938J	CINQMARS-VIAU	CHRISTOPHE JACQUES
74958K	CLARIZIO	MICHELE JUNE
36663C	COCKBURN	MATTHEW WILLIAM
74249N	COLLIVER	DANIELLE JEAN
62143S	COODIN	LILY EMMA
63605S	COURTOIS	SOPHIE ALEXANDRA
65463J	COUSENS	MICHELE ELYSE
79359G	COWLING	BRIANNA LYNN
71135M	CRAWFORD	JUSTIN STANLEY
57385O	CROCKETT	MORGAN JEAN
72181J	CUMBO-STEINMETZ	SHALOM
66245V	DANIELS	MARISSA ALIX
72184U	DANIS	STACEY LAUREN
59774G	DE FREITAS	TASHA LEINORE
70269D	DEBONO	EMILY CARMEN
31017V	DELEAN	ADAM ETIENNE
33225C	DELL	DAVID ALAN
29451Q	DEMARINIS	ANTONIO
74526H	DENROCHE	PATRICK RICHARD
61461T	DERWA	ZIRJAN JOHAN
57077T	DI PAOLO	ADRIENNE BERNADETTE
50727R	DINGLE	GILLIAN BLYTHE
72194O	DOST	MITCHELL THOMAS
60415W	DUFRESNE	YOLANDE GISELE MARIE
60078O	DUKE	LAURIE NADINE
79407L	DUMANOVIC	NENAD
49540H	DYCK	TYSON WAYNE
19860N	ELLIS	ELIZABETH ANN
41664T	EMANOILIDIS	JOHN EVANGELOS
43251H	EMES	AARON SCOTT
55814L	EVANS	HUW ROSSER
68403J	EVANS JR	GEORGE ANTHONY
35449W	FABELLO	JOHN ANTHONY
70585A	FALCONI	ADAM LUIGI
79426D	FALLIS	SARAH JAYNE
68078K	FAN	NA
46858V	FAN	TAT KAU
79435B	FEDERER	HENRY EDWARD CHARLES
75000M	FEI	ZHONG HUI
23693N	FELDMAN	MICHAEL KEVIN
63645R	FENTON	JORDAN MICHAEL
29479S	FORD	DANIEL ARTHUR GERARD
62178D	FORRESTER	DAVID COURTNEY
46615I	FORTIER	MICHAEL JOSEPH
43925I	FRAZER	MITCHELL SAMSON
74549G	FREEMAN	KETON MOTTA
73201M	GADSDEN-CHUNG	YU SEON
75019Q	GEE	WINSTON ALEXANDER
77313J	GERMANAKOS	NICHOLAS DANIEL CHRIS
21551K	GEVA	BENJAMIN
37811I	GHERBAZ	SABRINA ALISON
57681A	GIBBONS	ANDREW GERARD
77315B	GILCHRIST	ROBERT CRAIG
67279V	GINGRAS	MARIE-EVE JACQUELINE SYLVIE
63677O	GOTFRIT	SHANNON FARRAH
60107E	GOTOWIEC	JAMES ALEXANDER
29244H	GOULARD	BRIGITTE LUCIE MARIE
79482G	GRAGTMANS	MATTHEW PHILIP
46626V	GRAY	ANDREW DUNCAN



67773S	GUEST	LARA
79494P	GURRIERI	STEPHANIE NICOLE
79505J	HARRIS	COLBY COREY
72894N	HE	JESSICA SIYANG
37836W	HELBRONNER	VALERIE IRENE
38272Q	HILL	KRISTA FAYE
37843F	HISCOCKS	DARRYL ROY
32881D	HOLLAND	JULIA EVELYN
78580Q	HOLLOWAY	SAMANTHA ANDREE LISE
43946P	HONG	SANG-HOON
72900E	HORA	VIDUSHI BHARAT
64080P	HOUSE	FRAZER KEEGAN MAYNARD
64433Q	HULME	LAUREN MICHELLE
64083D	HUNDAL	KANWARBIR STEVE SINGH
75065C	HUNTER	THOMAS CALHOUN
11777Q	IACOBUCCI	FRANK
75521P	IBRAHIM	ADAM GAWDAT MOHAMED
70325K	IBRAHIM	SELAMAWIT DAWED
79545I	JACK	MICHAEL JAMES THOMAS
68448L	JACKSON	LEORA AHUVA
18466S	JACKSON	PATRICIA DIANE
68452G	JASON	MICHAEL MCPHERSON
63705I	JEBSON	BRADEN JAMES HENDRICK
77684C	JEWETT	TAMARA LOUISE CAMPBELL
35922M	JOHNSON	GLEN ROY
47401P	JOHNSON	RICHARD WILLIAM
79563E	KANOPOULOS	PATRICK PASKALIS
65945J	KARA	IRFAN
29924S	KEEFE	BLAIR WILLIAM
34735D	KEIZER	LORNE CHARLES
67806P	KELSALL	GEOFFREY GRAYDON
56102O	KENNEDY	RAEGAN MAUREEN ALICIA
67160E	KLINKHOFF	CAROLINE GERTRUDE
38301G	KNOWLING	SIMON CRAIG
37878N	KOZISKIE	CAMERON DARRYL
40237I	KRAAG	SCOTT ALEXANDER
35952R	KWASNIEWSKI	BERNARD JOSEPH
79601P	KWON	EUNICE
57470H	LAKE	KONATA TACUMA
57174V	LAVINE	JOSHUA DAVID
63740E	LAX	THOMAS RYAN
58639J	LEIBEL	ROBERT LEWIS
62253C	LEITH	DAVID NEAL
51636N	LENNON	JENNIFER LYNNE
74660U	LEONARD	JESSE DEAN
75575P	LEVI	YEHUDA HILLEL
70958M	LI	IAN DICKSON
80068L	LOWY	MELISSA ANNE
47857N	LUMIERE	JESSICA ROBYN
51359T	MACDONALD	MARTHA KATE
46939T	MACKAY	TARA ANN
75586F	MACKINNON	CHRISTINA THERESA
36793U	MAHONY	DENNIS EDWARD
69689U	MANN	BENJAMIN DAVID
56115T	MANSOORI	NINA
58356Q	MANTINI	NICOLE LEE
66384O	MASLIYAH	DANIEL HESKEL S.
29662J	MCCUTCHEON	JILL ELIZABETH
80096B	MCGREEVEY	MORAG VERONICA
79684B	MCLEOD	TRISTAN JAMES
27011M	MCMAHON	EILEEN MARGARET
50227V	MCMAHON	PATRICIA IRENE
23153S	MCNAMARA	WILLIAM WALLACE JOSEPH GEORGE
73280O	MEGUID	MICHAEL JONATHAN
59010N	MONAS	ELI SIMON
28577F	MORRIS	KELLY JANE
34804P	MORRIS	KEVIN MICHAEL
76148D	MORRY	JOSHUA MATTHEW
64168I	MOSKOWITZ	REBECCA MICHELLE



71004V	MURPHY	MICHAEL VINCENT
49869V	MYERS	JONATHAN MITCHELL
68521S	NEIL	STEPHEN RONALD
37243T	NELLES	CAROLINE MICHELLE
51381K	NESBITT	LEONARD NORMAN
40968I	NICKERSON	SUSAN LOUISE
80130F	NOEL	MICHAEL GERALD
77763I	O'SHEA	SCARLETT KLARA ROSA
74718I	OAKE	ADRIENNE LEBRETON
59902N	OLUSOGA	ADEYINKA OLUWAGBEMISOLA
60813N	OPOLSKY	JEREMY ROBERT
42724V	OUTERBRIDGE	DAVID JOHN
72689T	OVERING	TAYLOR ROBERT FREDERIC
77438N	PATENAUDE	ERIC JOSEPH
67580V	PATERSON	DUSTIN MATHEW
64190W	PAULS	RACHAEL ANNE
73001J	PAVLOV	TIMOFEY
68865R	PAYNE	OWEN STUART
74738T	PEGORARO	DIANA
56904S	PETERSON	ALEXANDRA ANNE
42098K	PICKERSGILL	MICHAEL THOMAS
38400A	PLUMPTON	LINDA MARIE
67893T	POSEN	JACOB DAVID
37973A	POWYS-LYBBE	KARRIN ANN
62338H	PRADO	MELISSA JUDITH
18299I	PRICHARD	JOHN ROBERT STOBO
74745C	PUCHTA	ALICJA
49405V	RAHMAN	SYED EBAD-UR
47427C	RAMCHANDANI	RIMA
77120M	RAMSDEN	DENISE MARIE
53824G	RAMSEY	JOEL DAVID
44648C	RAWLINSON	HENRY GRAHAM
76151C	REARDON	SARAH REBECCA
54406P	REGULY	TERESA ANN
68554L	REN	HE
67059H	REYNAUD	PATRICK JEAN ROY
57239P	REYNOLDS	MOLLY MARGARET
42490C	RICHTER	CHRISTOPHER LUND
55956P	RIX	EMILY MAY
48393U	ROCKMAN	NADINE SUSAN
54834L	RODRIGUE	SYLVIE MARIE THERESE MARCELLE
20044U	ROGER	DONALD BARCLAY
74770E	ROSSITER	MARSHALL JAMES
73026A	ROTCHTIN	STEVEN HART
55654H	ROUNDING ATKEY	MATTHEW RONALD
41766B	ROWAND	MELANIE YVONNE SHARMAN
70120E	SAKO	EMMA YOSHIE
47705P	SARY	CATHERINE MARYSE CRISTELLE
67921N	SAULNIER	BRETT ROBERT JOSEPH
58432L	SCHAFER	CHARLENE ELIZABETH
55661N	SEERS	MYRIAM MARIE
41025H	SEVILLE	DAVID ALEXANDER
64602S	SHAH	RONAK MUKESH
80260A	SHAMIE	ALISON LAURA
34880G	SHAUGHNESSY	ANDREW MARK
59959B	SHEERAZI	SHAAN ZEHRA
68903F	SHELLEY	ALEXANDRA KIMBERLY WELLS
67932D	SHERKEY	EMILY SUZANNE
70748P	SHIFF	DAVIDA ELIANA STARKMAN
75263N	SHIN	ERIN HEESHUN
70137Q	SILVER	JONATHAN SCOTT
44439B	SILVERMAN	STEPHEN ANDREW
70755V	SINGH	MANPREET
54433J	SLAVENS	ADAM MARCUS
59963T	SLAVENS	STEVEN BRET
79800W	SMALLEY	JACQUELYN ELIZABETH
34487S	STEELE	DAVID ANGUS
73062P	STEELE	MICHAEL BRETT
42344D	STERNBERG	ARLEN KID
62743E	STEVENSON	THOMAS COREY

79809J	STEWART	EMILY MARGARET
76122Q	STOBER	JASON JONATHAN SHERMAN
77167G	STRONG	SARAH ELIZABETH
30890C	SYMMONDS	PHILIP DAVID ALBERT
44672I	TALBOT	LISA KIMBERLEY
58769E	TANEVA-PARASHKEVOVA	PAULINA VALENTI
64637D	TARTICK	BRADLEY ROBERT
73078I	TEICHMAN	JOSHUA DAVID
32078P	TERRY	JOHN ALEXANDER
75294O	THOMAS	SHANE IDICULLA
29717J	TOBIN	JOHN JOSEPH
23280C	TORY	JAMES CHRISTOPHER
61092O	TOWELL	LEAH CHRISTINE
53608W	TOWNSEND	KAREN JEAN
57278S	TRAUB	DANIELLE LAUREN KARO
62404I	TRIVUN	MARKO
75300F	TWEYMAN	HAILEY ILANNA
59567U	UNRUCH	RYAN NOAH
59570T	VAN DEURZEN	JOSHUA FRANK
27865B	VINER	LESLIE MARK
41079H	VOGELESANG	CHRISTINE LISA
38058B	WAKIL	OMAR KAREM
73090F	WALL	NICHOLAS POWER
41964M	WATSON	GWENDOLYN GRACE
64669A	WEINSTOCK	JACOB COBY
70788O	WEYMAN	JONATHAN TOSHACH
61104E	WHITMORE	SARAH ELISE
51191B	WILLIAMS	SIMON JEFFREY CHARLES
27160W	WILLOUGHBY	RICHARD GRAEME
60005W	WISE	REBECCA LYNN
47309Q	WONG	HO KAY
72439A	WONG	JUSTIN BROOK DANIEL
42997Q	WORDEN	WILLIAM GRANT
32520E	WORTSMAN	JERALD MARTIN
45848Q	WRIGHT	CORNELL CHARLES VINCENT
44694L	YEO	THOMAS HARTLEY
52727Q	ZACKHEIM	MICHAEL BENJAMIN
65783N	ZEIT	NICOLE DIANE
50137C	ZEMANEK	MILOSZ ANDRZEJ
44696D	ZVERINA	THOMAS JOSEPH
66842T	BERTRAND	MARIE JEANINE GENEVIEVE
72877N	GENG	HONGYI



Number of LAWYERS practising in the firm: **282**

The following LAWYERS are currently listed as members of your firm. Upon review and processing of this firm filing, LAWPRO will provide automatic coverage for the portion of the 2020 policy year, based on the effective date(s) provided to LAWPRO. Additional filings will not be required, except in the case of lawyers with the status of Exempt-C (see below if applicable):

60933O BENNETT SULTANA LILY-ROSE



EXEMPT: E

This LAWYER has been exempt but has recently been added to your firm listing.

- If the LAWYER has commenced practice with your firm in 2020, LAWPRO will automatically send the LAWYER's policy documents and premium invoice to his/her attention with the same coverage options as currently selected in this application (based on the effective date provided in this application or in an earlier communication). Any automated payment details provided in this Renewal application will not be reflected in or applied to the resulting 2020 policy.
- If this LAWYER is not commencing practice with your firm, please click the "Remove" button to the right of the LAWYER name.

74326E LOIGNON-GIROUX EMMA SUSAN ANDREE MICHELLE



EXEMPT: D

This LAWYER has been exempt but has recently been added to your firm listing.

- If the LAWYER has commenced practice with your firm in 2020, LAWPRO will automatically send the LAWYER's policy documents and premium invoice to his/her attention with the same coverage options as currently selected in this application (based on the effective date provided in this application or in an earlier communication).